Following the Rules: From Policies to Norms



Sabrina Kirrane IDLab, Ghent University – imec 19th January 2023

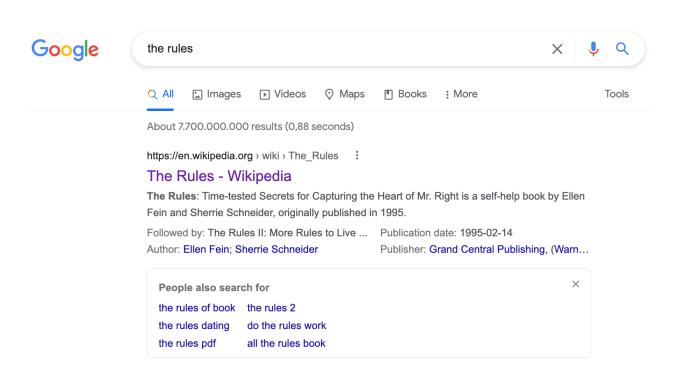


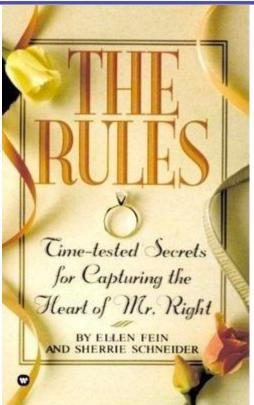




The Rules









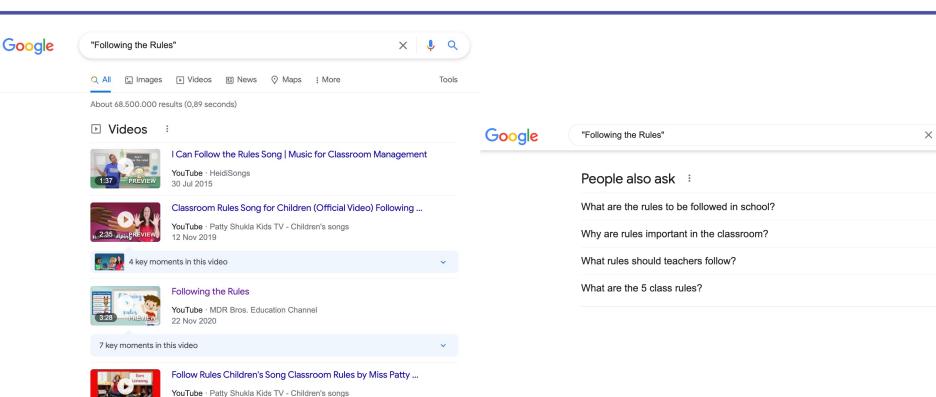




18 Nov 2019

View all \rightarrow











Knowing the Rules





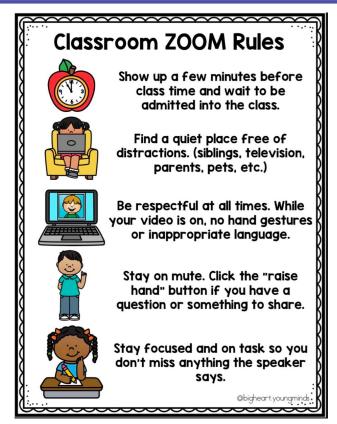




Knowing the Rules













Knowing the Rules and the Consequences









Knowing the Rules and the Consequences







https://www.pinterest.co.uk/pin/nuns-reverse-reverse-67202219424555999/







Programming Rules



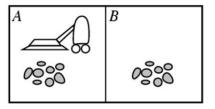
Vacuum-cleaner world

· Percepts:

Location and status, e.g., [A,Dirty]

Actions:

Left, Right, Suck, NoOp



Example vacuum agent program:

function Vacuum-Agent([location,status]) returns an action

- if status = Dirty then return Suck
- else if location = A then return Right
- else if location = B then return Left







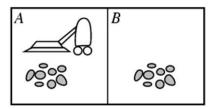
Programming Rules



Vacuum-cleaner world

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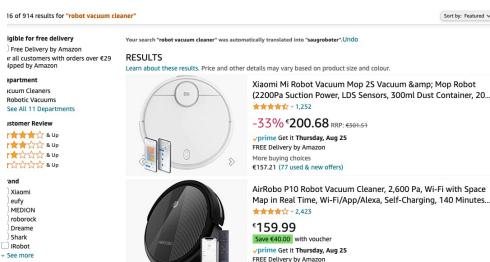


Price

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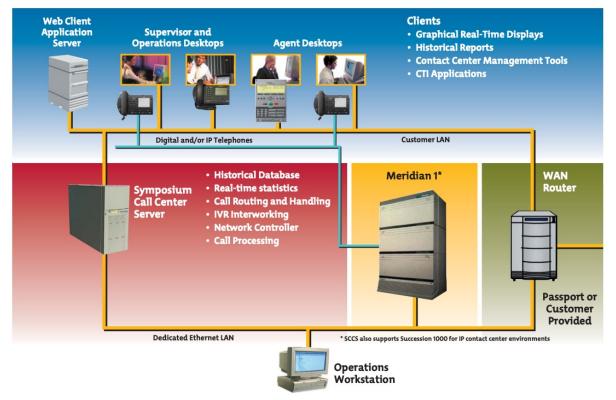


Sort by: Featured >

System Integration



Figure 1. Symposium Call Center Server Architecture

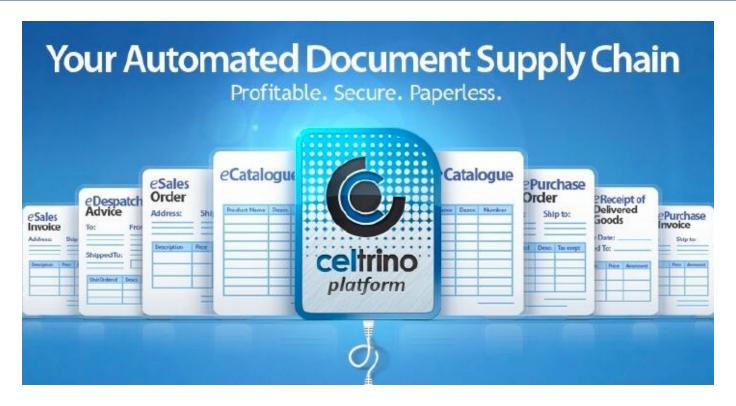






Document Exchange



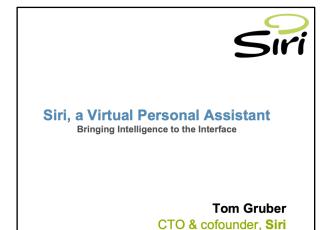




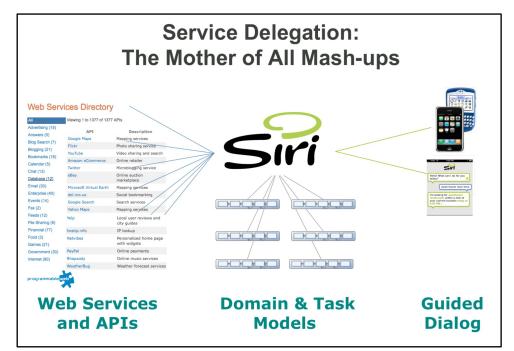


Following the Rules Virtual Personal Assistants























The Status Quo











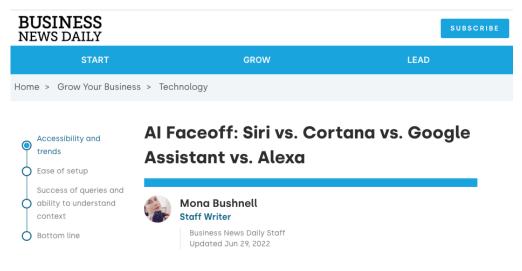






The Status Quo





- Different strengths and weaknesses (e.g., amazon shopping, restaurant booking, directions, setting reminders, general information,....)
- Problems detecting commands
- Rely on very specific terminology
- Responses not intuitive or helpful
- Information vs task oriented
- Specific hardware requirements





The Status Quo



COMPUTER LAW & SECURITY REVIEW 36 (2020) 105366



Available online at www.sciencedirect.com

ScienceDirect

Computer Law & Security Review

journal homepage: www.elsevier.com/locate/CLSR

Comment

From Alexa to Siri and the GDPR: The gendering of Virtual Personal Assistants and the role of Data Protection Impact Assessments*



Nóra Ni Loideain a,b,c,d,f,*, Rachel Adams e,f,9

^gHuman Sciences Research Council, South Africa

Question	Siri	Alexa	Cortana
"You're hot!"	"How can you tell? You say that to all the virtual assistants"	"That's nice of you to say"	"Beauty is in the eye of the beholder"

^a Information Law and Policy Centre, Institute of Advanced Legal Studies, University of London, United Kingdom

^bLeverhulme Centre for the Future of Intelligence (CFI), University of Cambridge, United Kingdom

^cMedia Policy and Democracy Project, Faculty of Humanities, University of Johannesburg, United Kingdom

^d Dickson Poon School of Law, King's College London, Institute of Advanced Legal Studies, School of Advanced Study, University of London, London WC1B 5DR, United Kingdom

^{*}Information Law and Policy Centre, Institute of Advanced Legal Studies, University of London, United Kingdom function of Advanced Legal Studies, School of Advanced Study, University of London, London WC1B 5DR, United Kinadom

The Status Quo



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"What are you wearing?"	"Why would I be wearing anything?"	"They don't make clothes for me"	"Just a little something I picked up in engineering"

^a Information Law and Policy Centre, Institute of Advanced Legal Studies, University of London, United Kingdom

^b Leverhulme Centre for the Future of Intelligence (CFI), University of Cambridge, United Kingdom

^cMedia Policy and Democracy Project, Faculty of Humanities, University of Johannesburg, United Kingdom

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⁸Human Sciences Research Council, South Africa

The Status Quo

















Apple Knowledge Navigator Video 1987











Apple Knowledge Navigator Video 1987







- Virtual personal assistant
- Speech recognition
- Touch screen
- Video conferencing
- Data sharing and integration
- Automated search
- Realtime analytics
- Handling large amounts of data
- Personal data processing
-





Apple Knowledge Navigator Video 1987







- Virtual personal assistant
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-















Advances in Data Sharing and Integration





World Wide Web

The WorldWideWeb (W3) is a wide-area hypermedia information retrieval initiative aiming to give universal access to a large universe of documents.

Everything there is online about W3 is linked directly or indirectly to this document, including an executive summary of the project, Mailing lists, Policy, November's W3 news, Frequently Asked Questions

What's out there?

Pointers to the world's online information, subjects, W3 servers, etc.

<u>Help</u>

on the browser you are using

Software Products

A list of W3 project components and their current state. (e.g. Line Mode, X11 Viola, NeXTStep, Servers, Tools, Mail robot, Library)

Technical

Details of protocols, formats, program internals etc

Paper documentation on W3 and references.

People

A list of some people involved in the project. History

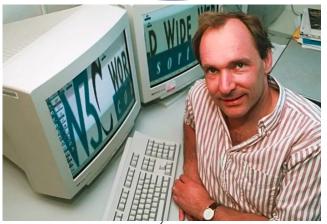
A summary of the history of the project.

How can I help?

If you would like to support the web..

Getting the code by anonymous FTP, etc.

The first web page went live on August 6, 1991. It was dedicated to information on the World Wide Web project and was made by Tim Berners-Lee.

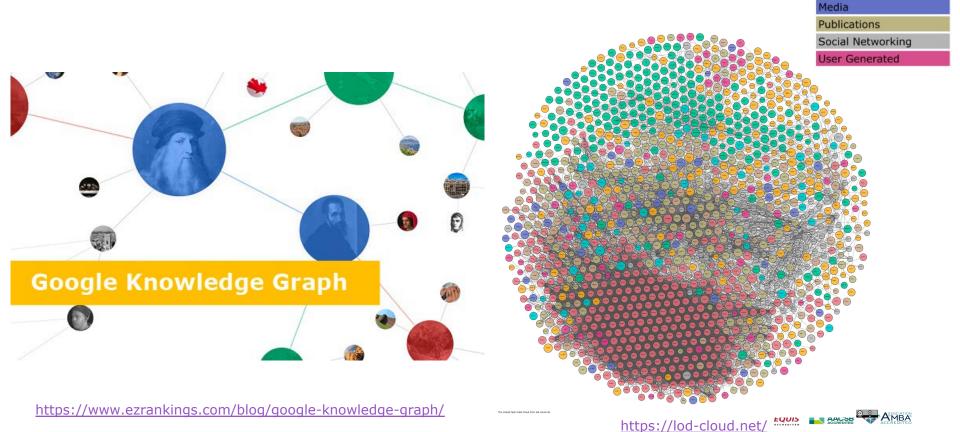








Advances in Data Sharing and Integration



Legend Cross Domain

Geography Government

Life Sciences Linguistics

Mark D. Wilkinson et al.#

Advances in Data Sharing and Integration



SCIENTIFIC DATA (1011) 10 11 1

SUBJECT CATEGORIES » Research data » Publication characteristics

OPEN Comment: The FAIR Guiding Principles for scientific data management and stewardship

Box 2 | The FAIR Guiding Principles

To be Findable:

- F1. (meta)data are assigned a globally unique and persistent identifier
- F2. data are described with rich metadata (defined by R1 below)
- F3. metadata clearly and explicitly include the identifier of the data it describes
- F4. (meta)data are registered or indexed in a searchable resource

To be Accessible:

- A1. (meta)data are retrievable by their identifier using a standardized communications protocol
- A1.1 the protocol is open, free, and universally implementable
- A1.2 the protocol allows for an authentication and authorization procedure, where necessary
- A2. metadata are accessible, even when the data are no longer available

To be Interoperable:

- I1. (meta)data use a formal, accessible, shared, and broadly applicable language for knowledge representation.
- 12. (meta)data use vocabularies that follow FAIR principles
- 13. (meta)data include qualified references to other (meta)data

To be Reusable:

- R1. meta(data) are richly described with a plurality of accurate and relevant attributes
- R1.1. (meta)data are released with a clear and accessible data usage license
- R1.2. (meta)data are associated with detailed provenance
- R1.3. (meta)data meet domain-relevant community standards





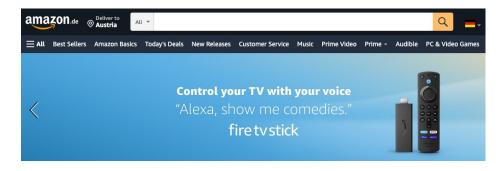


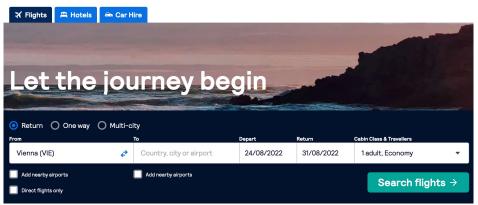
Advances in Search

Skyscanner



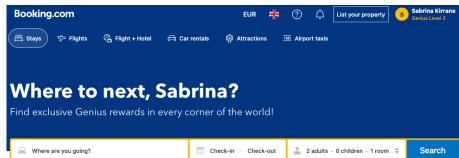






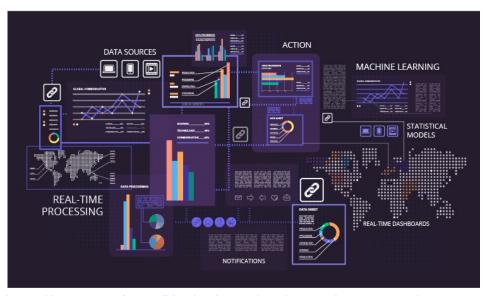
English (UK) = Austria € EUR

Log in



Advances in Realtime Analytics





https://www.scnsoft.com/blog/real-time-big-data-analytics-comprehensive-guide







Advances in Realtime Analytics

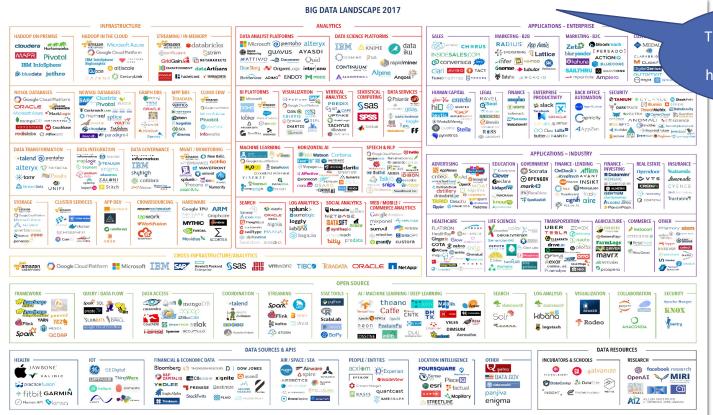




V2 - Last updated 5/3/2017

Advances in Handling Large Amounts of Data





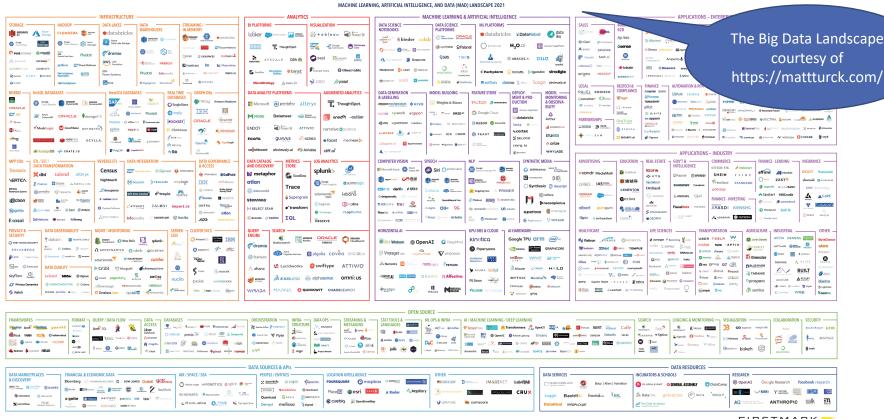
© Matt Turck (@mattturck), Jim Hao (@jimrhao), & FirstMark (@firstmarkcap) mattturck.com/bigdata2017

The Big Data Landscape courtesy of https://mattturck.com/

FIRSTMARK

Advances in Handling Large Amounts of Data





Advances in Personal Data Processing



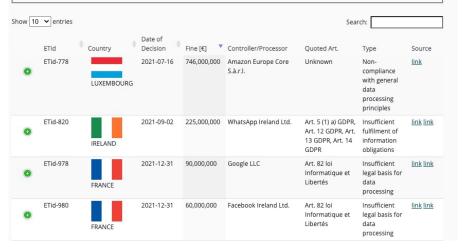
GDPR Enforcement Tracker



The CMS.Law GDPR Enforcement Tracker is an overview of fines and penalties which data protection authorities within the EU have imposed under the EU General Data Protection Regulation (GDPR, DSGVO). Our aim is to keep this list as up-to-date as possible. Since not all fines are made public, this list can of course never be complete, which is why we appreciate any indication of further GDPR fines and penalties. Please note that we do not list any fines imposed under national / non-European laws, under non-data protection laws (e.g. competition laws / electronic communication laws) and under "old" pre-GDPR-laws.

New features: "FTid" and "Direct URL"!

We have assigned a unique and permanent ID to each fine in our database, which makes it possible to precisely address fines, e.g. in publications. Once an "ETIG" has been assigned to a fine, it remains the same, even if the fine is overturned or amended by courts at a later date, or if we add fines that were issued chronologically before. The "Direct URL" (click "+" or on a specific ETId to view details of a fine) can be used to share fines online, e.g., on Twitter or other media.



The GDPR sets forth fines of up to 20 million euros, or 4% of entire global turnover of the preceding fiscal year, whichever is higher.







Advances in Personal Data Processing



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Show 10 v entries					Search:			
	ETid	♦ Country ♦	Date of Decision	Fine [€]	Controller/Processor	Quoted Art.	Туре	Source
0	ETid-778	LUXEMBOURG	2021-07-16	746,000,000	Amazon Europe Core S.à.r.l.	Unknown	Non- compliance with general data processing principles	link
•	ETid-820	IRELAND	2021-09-02	225,000,000	WhatsApp Ireland Ltd.	Art. 5 (1) a) GDPR, Art. 12 GDPR, Art. 13 GDPR, Art. 14 GDPR	Insufficient fulfilment of information obligations	link link
•	ETid-978	FRANCE	2021-12-31	90,000,000	Google LLC	Art. 82 loi Informatique et Libertés	Insufficient legal basis for data processing	<u>link link</u>
0	ETid-980	FRANCE	2021-12-31	60,000,000	Facebook Ireland Ltd.	Art. 82 loi Informatique et Libertés	Insufficient legal basis for data processing	link link



The European Commission and the United States reached an agreement in principle for a **Trans-Atlantic Data Privacy Framework**.

https://ec.europa.eu/commission/presscorner/api/files/attachment/87 2132/Trans-Atlantic%20Data%20Privacy%20Framework.pdf.pdf

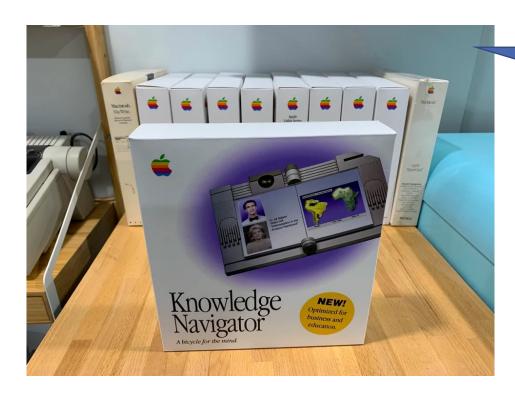






Apple Knowledge Navigator Video 1987





This is a mock-up of what could have been







From Knowledge Navigation to Intelligent Software Web Agents







The Semantic Web

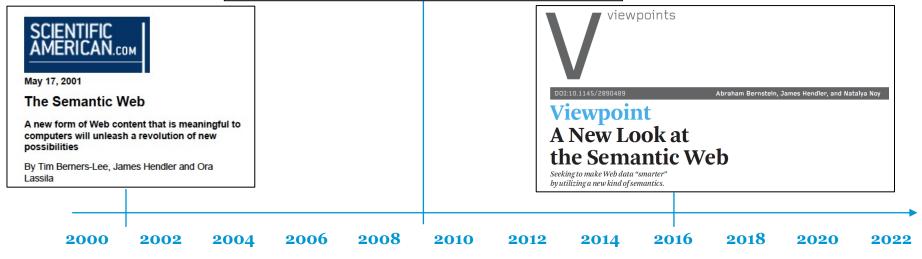




Features - January 19, 2009

The Semantic Web in Action Corporate applications are well under way, and consumer uses are emerging

By Lee Feigenbaum, Ivan Herman, Tonya Hongsermeier, Eric Neumann and Susie Stephens



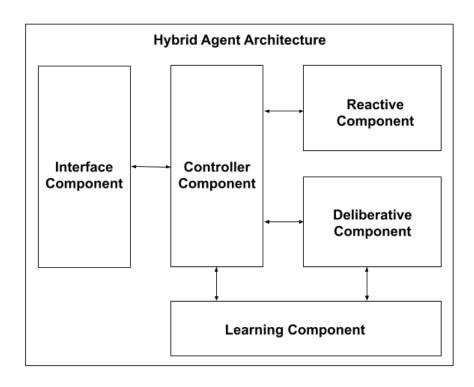






Existing Standards



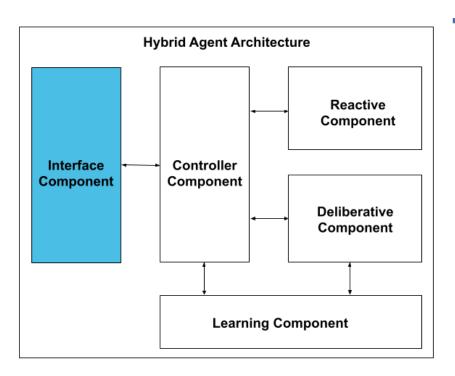






Existing Standards





Interface

- Web Ontology Language for Web Services (OWL-S)
- Web Service Modeling Language (WSML)
- Agent Communication Language (ACL)
- .

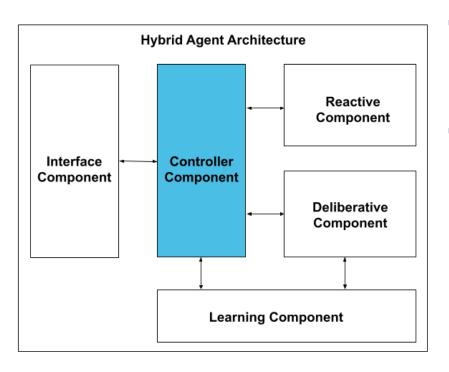






Existing Standards





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Controller

- Linked Data Platform (LDP)
- Foundation for Intelligent Physical Agents (FIPA)
- ...

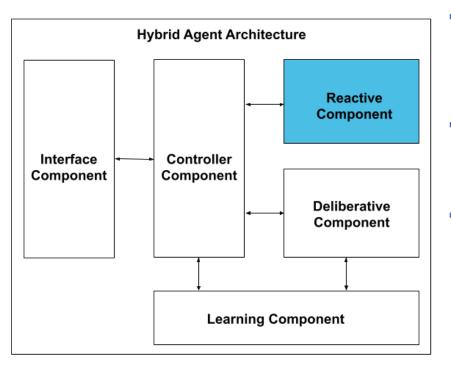






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Reactive

- Production Rule Representation (PRR)
- Rule Markup Language (RML)
- W3C Semantic Web Rule Language (SWRL)
- ...

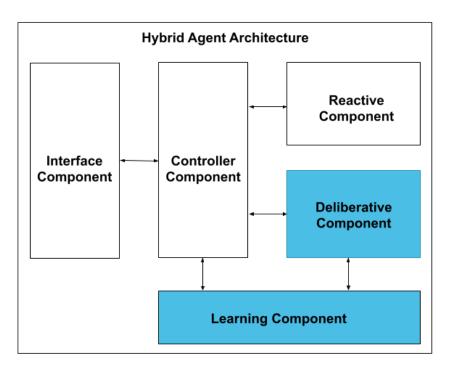






Existing Standards





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- W3C Semantic Web Rule Language (SWRL)
- · ...

Deliberative & Learning

- Resource Description Language Schema (RDFS)
- Web Ontology Language (OWL)
- ..

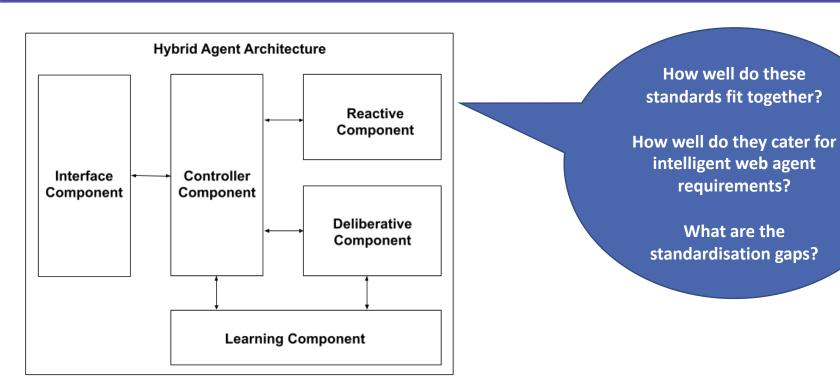






Existing Standards



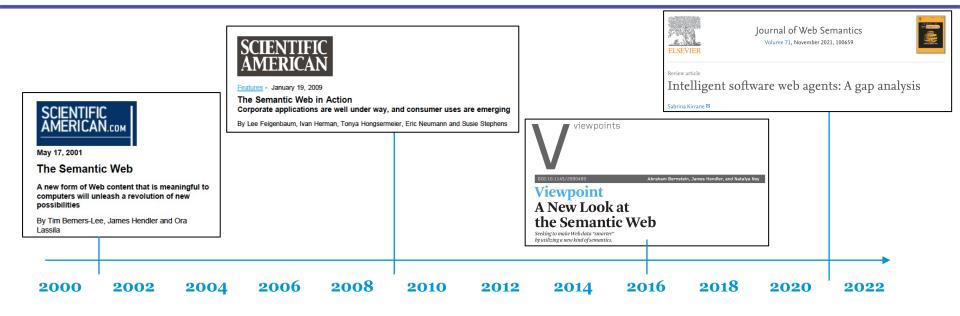






The Statuo Quo



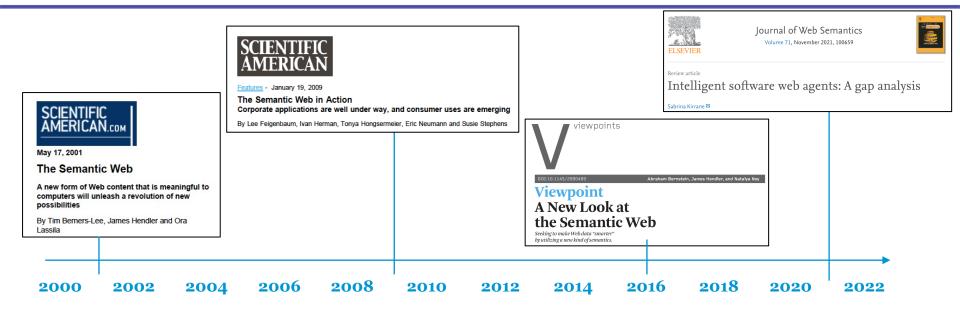






The Statuo Quo





- Benevolence, responsibility, and mobility requirements yet to be realised
- Agents need to behave legally and ethically
- Need tools, technologies that can be used to evaluate the effectiveness of existing proposals







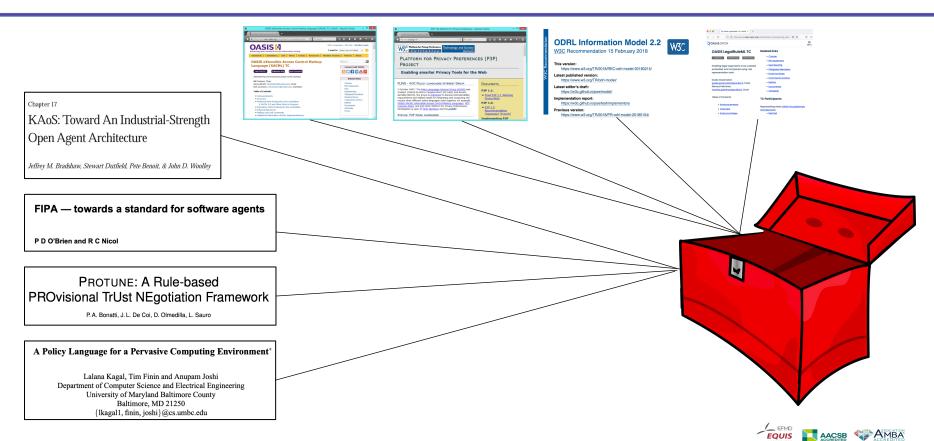






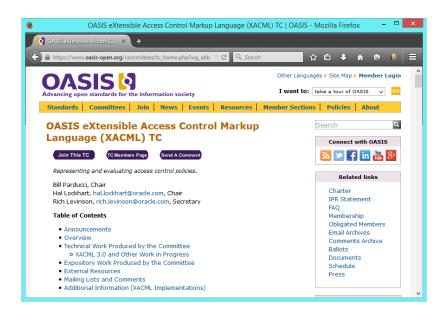


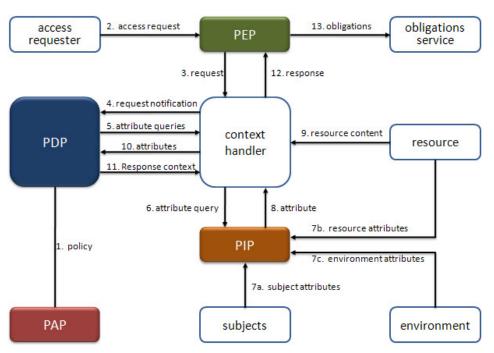




Access Control







Policy Administration Point (PAP))

Policy Enforcement Point (PEP)

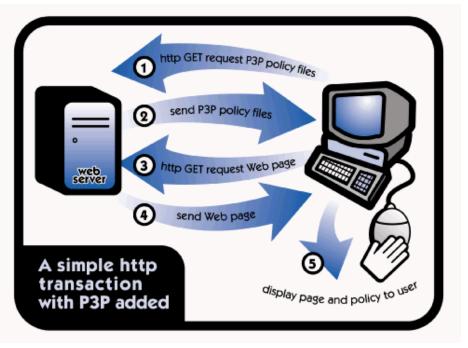
Policy Decision Point (PDP)

Policy Information Point (PIP)

Privacy Preferences







Licensing



ODRL Information Model 2.2

W3C Recommendation 15 February 2018

This version:

https://www.w3.org/TR/2018/REC-odrl-model-20180215/

Latest published version:

https://www.w3.org/TR/odrl-model/

Latest editor's draft:

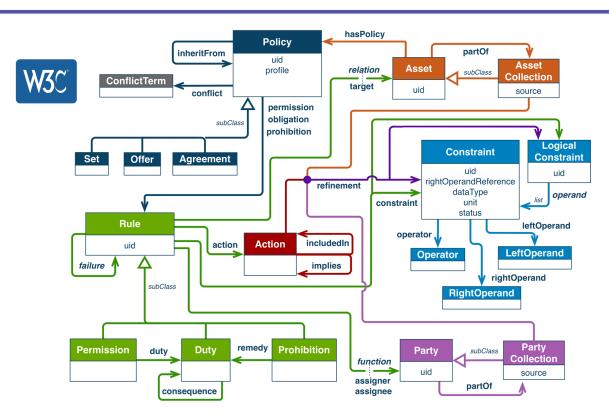
https://w3c.github.io/poe/model/

Implementation report:

https://w3c.github.io/poe/test/implementors

Previous version:

https://www.w3.org/TR/2018/PR-odrl-model-20180104/









Norms





OASIS LegalRuleML TC



TC Members Page



Enabling legal arguments to be created, evaluated, and compared using rule representation tools

Guido Governatori, guido.governatori2@unibo.it, Chair Monica Palmirani, monica.palmirani@unibo.it, Chair

Table of Contents

- Announcements
- Overview
- Subcommittees

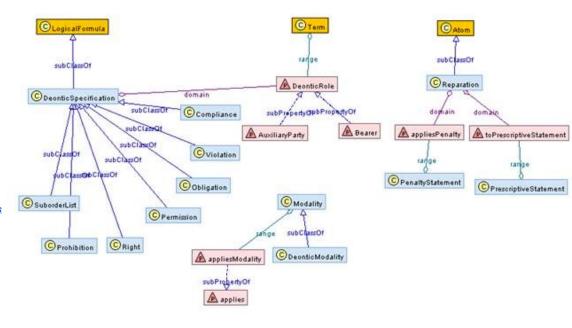
Related links

- Charter
- IPR Statement
- Membership
- Obligated Members
- Email Archives
- Comments Archive
- Ballots
- Documents
- Schedule

TC Participants

Representing these <u>OASIS Foundationals</u> <u>and Sponsors</u>:

Red Hat



General Policy Languages



A Policy Language for a Pervasive Computing Environment*

Lalana Kagal, Tim Finin and Anupam Joshi
Department of Computer Science and Electrical Engineering
University of Maryland Baltimore County
Baltimore, MD 21250
{lkagal1, finin, joshi}@cs.umbc.edu

2003, A policy language for a pervasive computing environment. In Proceedings POLICY 2003. IEEE 4th International Workshop on Policies for Distributed Systems and Networks (pp. 63-74). IEEE.

PROTUNE: A Rule-based PROvisional TrUst NEgotiation Framework

P. A. Bonatti, J. L. De Coi, D. Olmedilla, L. Sauro

2010. PROTUNE: A Rule-based PROvisional TrUst NEgotiation Framework.







Agent Languages



Chapter 17

KAoS: Toward An Industrial-Strength Open Agent Architecture

Jeffrey M. Bradshaw, Stewart Dutfield, Pete Benoit, & John D. Woolley

1997. KAoS: Toward an industrial-strength open agent architecture. Software agents, 13, pp.375-418.

FIPA — towards a standard for software agents

P D O'Brien and R C Nicol

1998. FIPA—towards a standard for software agents. BT Technology Journal, 16(3), pp.51-59.









Resource Governance!

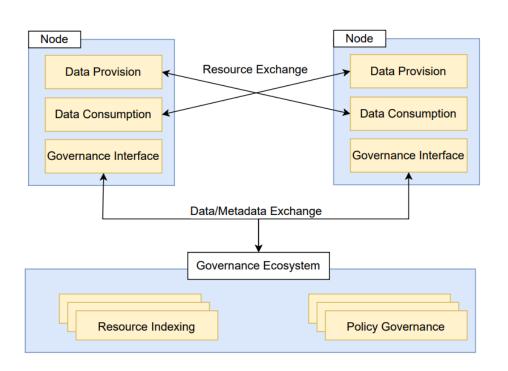






Blockchain based Resource Governance for Decentralized Web Environments

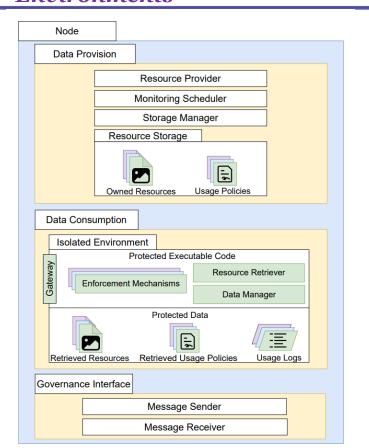




 High-level overview of the proposed conceptual resource governance (ReGov) framework.

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Blockchain based Resource Governance for Decentralized Web Environments

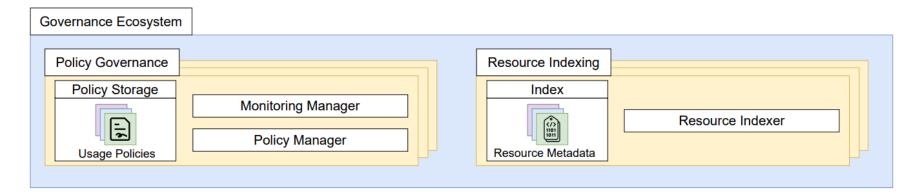


 Content of the data provision, data consumption and governance interface components

Blockchain based Resource Governance for Decentralized Web Environments. Davide Basile, Claudio Di Ciccio, Valerio Goretti, and Sabrina Kirrane, Submitted to Frontiers in Blockchain. https://arxiv.org/pdf/2301.06919.pdf



Blockchain based Resource Governance for Decentralized Web Environments



 Content of policy governance and resource indexing components inside the governance ecosystem



Agent Governance!

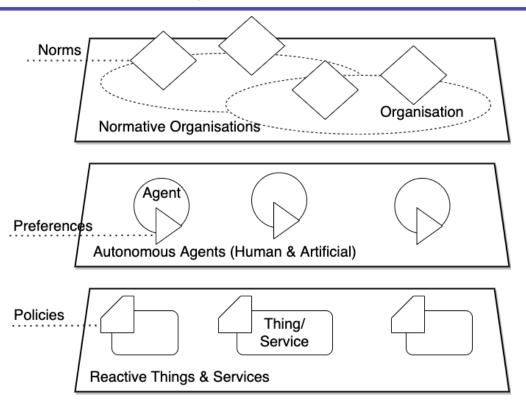






Policies, Preferences & Norms





- A blueprint for the governance of agent based systems
- Can be instantiated in a variety of ways, using a variety of concrete software components

Policies, Preferences & Norms



```
AccessPolicy := 'ObjectUnionOf' '(' BasicAccessRule { BasicAccessRule }* ')' | BasicAccessRule
UsagePolicy:='ObjectUnionOf' '(' UsageRule { UsageRule }* ')' | UsageRule
Norms :='ObjectUnionOf' '(' NormRule { NormRule }* ')' | NormRule
Preferences:='ObjectUnionOf' '(' PreferenceRule { PreferenceRule }* ')' | PreferenceRule
UsageRule := ObjectUnionOf' '(' BasicUsageRule { BasicUsageRule }* ')' | BasicUsageRule
NormRule := ObjectUnionOf' '(' BasicNormRule { BasicNormRule }* ')' | BasicNormRule
PreferenceRule := ObjectUnionOf' '(' BasicPreferenceRule { BasicPreferenceRule }* ')' | BasicPreferenceRule
BasicUsageRule := 'ObjectIntersectionOf' '(' BasicUsageRule DeonticUsage ')'
BasicNormRule := 'ObjectIntersectionOf' '(' BasicNormRule DeonticNorm ')'
BasicPreferenceRule := 'ObjectIntersectionOf' '(' BasicPreferenceRule DefeasiblePreference ')'
DeonticAccess :='ObjectSomeValueFrom' '(' 'rdf:type' ObjectUnionOf '(' 'ucp:Permission' 'ucp:Prohibition' ')' ')'
DeonticUsage :='ObjectSomeValueFrom' '(' 'rdf:type' ObjectUnionOf '(' 'ucp:Permission' 'ucp:Prohibition' 'ucp:Obligation' 'ucp:Dispensation' ')' ')'
DeonticNorm:='ObjectSomeValueFrom' '(' 'rdf:type' ObjectUnionOf '(' 'ucp:Permission' 'ucp:Prohibition' 'ucp:Obligation' 'ucp:Dispensation' ')' ')'
DefeasiblePreference := 'ObjectSomeValueFrom' '(' 'rdf:type' ObjectUnionOf '(' 'ucp:WeakPreference 'ucp:StrongPreference' ')' ')'
BasicAccessRule :='ObjectIntersectionOf' '(' Subject Object Action ')'
BasicUsageRule :='ObjectIntersectionOf' '(' Subject Object Action Purpose ')'
BasicNormRule :='ObjectIntersectionOf' '(' Subject Object Action Purpose ')'
BasicPreferenceRule := 'ObjectIntersectionOf' '(' Subject Relation Object Purpose ')'
Subject := 'ObjectSomeValueFrom' '(' 'ucp:hasSubject' SubjectExpression ')'
Object := 'ObjectSomeValueFrom' '(' 'ucp:hasObject' ObjectExpression ')'
Action := 'ObjectSomeValueFrom' '(' 'ucp:hasAction' ActionExpression ')'
Purpose :='ObjectSomeValueFrom' '(' 'ucp:hasPurpose' PurposeExpression ')'
Relation := 'ObjectSomeValueFrom' '(' 'ucp:hasRelation' RelationExpression ')'
SubjectExpression :='ucp:Subject' | SubjectVocabExpression
ObjectExpression := 'ucp:Object' | ObjectVocabExpression
ActionExpression := 'ucp:Action' | ActionVocabExpression
PurposeExpression :='ucp:Purpose' | PurposeVocabExpression
RelationExpression :='ucp:Relation' | RelationVocabExpression
SubjectVocabExpression := as specified in ppnv
ObjectVocabExpression := as specified in ppnv
ActionVocabExpression := as specified in ppnv
PurposeVocabExpression := as specified in ppnv
```

RelationVocabExpression := as specified in ppnv

The complete syntax of the proposed policy, norm, and preference language is specified using the following Backus-Naur form (BNF) grammar

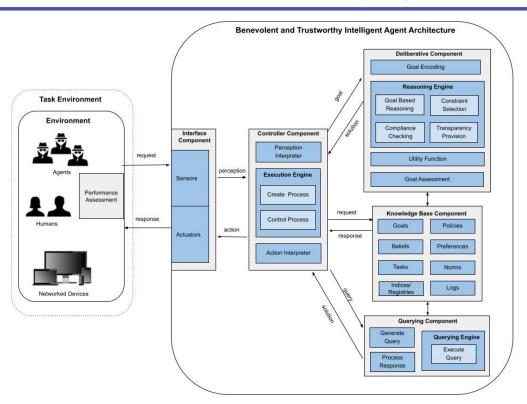
Policies, Preferences & Norms



```
AccessPolicy := 'ObjectUnionOf' '(' BasicAccessRule { BasicAccessRule }* ')' | BasicAccessRule
UsagePolicy:='ObjectUnionOf' '(' UsageRule { UsageRule }* ')' | UsageRule
Norms :='ObjectUnionOf' '(' NormRule { NormRule }* ')' | NormRule
Preferences: = 'ObjectUnionOf' '(' PreferenceRule { PreferenceRule }* ')' | Pref EXAMPLE 1: Access Policy
UsageRule := ObjectUnionOf' '(' BasicUsageRule { BasicUsageRule }* ')' | BasicU
                                                                                Ontology(
NormRule := ObjectUnionOf' '(' BasicNormRule { BasicNormRule }* ')' | BasicNorm
PreferenceRule := ObjectUnionOf' '(' BasicPreferenceRule { BasicPreferenceRule
                                                                                  SubClassOf( ppn:Permission ppn:DeonticConcept )
BasicUsageRule := 'ObjectIntersectionOf' '(' BasicUsageRule DeonticUsage')'
BasicNormRule := 'ObjectIntersectionOf' '(' BasicNormRule DeonticNorm ')'
BasicPreferenceRule := 'ObjectIntersectionOf' '(' BasicPreferenceRule Defeasib
                                                                                  FunctionalObjectProperty(ppn:hasSubject)
                                                                                  FunctionalObjectProperty(ppn:hasObject)
DeonticAccess :='ObjectSomeValueFrom' '(' 'rdf:type' ObjectUnionOf '(' 'ucp:Per
                                                                                  FunctionalObjectProperty(ppn:hasAction)
DeonticUsage :='ObjectSomeValueFrom' '(' 'rdf:type' ObjectUnionOf '(' 'ucp:Perm
DeonticNorm :='ObjectSomeValueFrom' '(' 'rdf:type' ObjectUnionOf '(' 'ucp:Permi
DefeasiblePreference :='ObjectSomeValueFrom' '(' 'rdf:type' ObjectUnionOf '(' '
                                                                                 ObjectPropertyDomain( ppn:hasRule ppn:Policy )
BasicAccessRule :='ObjectIntersectionOf' '(' Subject Object Action ')'
                                                                                  ObjectPropertyRange( ppn:hasRule ppn:Rule )
BasicUsageRule :='ObjectIntersectionOf' '(' Subject Object Action Purpose ')'
BasicNormRule :='ObjectIntersectionOf' '(' Subject Object Action Purpose ')'
BasicPreferenceRule := 'ObjectIntersectionOf' '(' Subject Relation Object Purpos
                                                                                  EquivalentClasses(
                                                                                   ppnv:AccessAlicesMedialData
Subject := 'ObjectSomeValueFrom' '(' 'ucp:hasSubject' SubjectExpression ')'
Object := 'ObjectSomeValueFrom' '(' 'ucp:hasObject' ObjectExpression ')'
                                                                                    ObjectIntersectionOf(
Action := 'ObjectSomeValueFrom' '(' 'ucp:hasAction' ActionExpression ')'
                                                                                     ObjectSomeValuesFrom( ppn:hasSubject ppnv:AlicesAgent )
Purpose :='ObjectSomeValueFrom' '(' 'ucp:hasPurpose' PurposeExpression ')'
                                                                                     ObjectSomeValuesFrom( ppn:hasObject ppnv:AlicesMedicalData )
Relation := 'ObjectSomeValueFrom' '(' 'ucp:hasRelation' RelationExpression ')'
                                                                                     ObjectSomeValuesFrom( ppn:hasAction ppnv:Access )
SubjectExpression :='ucp:Subject' | SubjectVocabExpression
ObjectExpression := 'ucp:Object' | ObjectVocabExpression
ActionExpression := 'ucp:Action' | ActionVocabExpression
PurposeExpression :='ucp:Purpose' | PurposeVocabExpression
RelationExpression :='ucp:Relation' | RelationVocabExpression
                                                                                 ClassAssertion( ppn:Permission ppnv:AccessAlicesMedialDataPermission )
                                                                                  ClassAssertion( ppnv:AccessAlicesMedialData ppnv:AccessAlicesMedialDataPermission )
SubjectVocabExpression := as specified in ppnv
ObjectVocabExpression := as specified in ppnv
                                                                                 ObjectPropertyAssertion( ppn:hasRule ppnv:DoctorsMedialDataPolicy ppnv:AccessAlicesMedia
ActionVocabExpression := as specified in ppnv
PurposeVocabExpression := as specified in ppnv
RelationVocabExpression := as specified in ppnv
```

Benevolent and Trustworthy agents





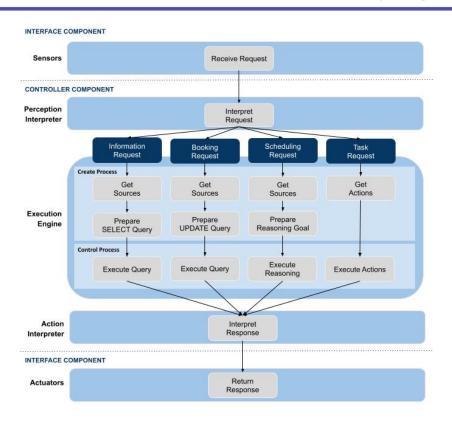
 A Benevolent and Trustworthy Agent (BTA) Architecture minus the reactive and learning components





Benevolent and Trustworthy agents





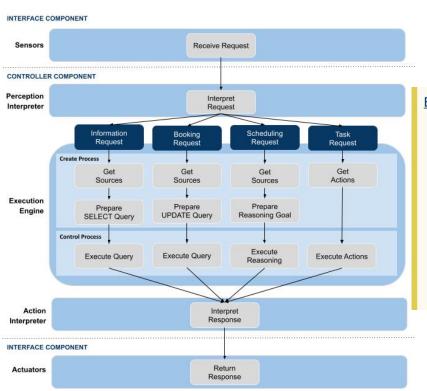
 Information, Booking, Scheduling, and Task Requests





Benevolent and Trustworthy agents





 Information, Booking, Scheduling, and Task Requests

```
EXAMPLE 1: Information Request

amv:DoctorsReferralInfo am:hasRequestType am:InfoRequest;
   am:hasSource amv:LucysAgent;
   am:hasDestination amv:AlicesDoctorsAgent;
   am:hasType amv:LucysDoctorsReferral;
   am:hasProvider amv:AlicesDoctor;
   am:hasConstraint [amv:lastVsit "2022-03-14"^^xsd:dateTimeStamp],
       [amv:requiredTreatment amv:Physiotherapy];
   am:hasCredential amv:LucysAgentCredential,
       amv:AlicesDelegatedDoctorCredential;
```







Challenges & Opportunities







From Policies to Norms

Open Challenges and Opportunities



- The encoding of policies and norms such that they are actionable by machines is particularly difficult as policies and norms are often vague and ambiguous.
- In order to monitor how agents adapt and learn there is a need for governance strategies that are suitable for symbolic and sub-symbolic learning.
- There is a need for abstractions that can be used to guide the development of a variety of different agent types (information, scheduling, booking, etc....)
- We need codes of conduct for different types of agents and agent organisations based on legal, regulatory, and social norms
- We are severely lacking in terms of intelligent agent verification,
 validation & benchmarking methods and tools





Contact Details









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